



Europäisches
Patentamt

European
Patent Office

Office eur péen
des brevets

Jc564 U.S. PTO
10/016739
10/22/01

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-
gen stimmen mit der
ursprünglich eingereichten
Fassung der auf dem näch-
sten Blatt bezeichneten
europäischen Patentanmel-
dung überein.

The attached documents
are exact copies of the
European patent application
described on the following
page, as originally filed.

Les documents fixés à
cette attestation sont
conformes à la version
initialement déposée de
la demande de brevet
européen spécifiée à la
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

00122988.9

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office.

Le Président de l'Office européen des brevets
p.o.

I.L.C. HATTEN-HECKMAN

DEN HAAG, DEN
THE HAGUE,
LA HAYE, LE

25/09/01

BEST AVAILABLE COPY



Europäisches
Patentamt

Eur pean
Patent Office

Office européen
des brevets

Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation

Anmeldung Nr.:
Application no.: 00122988.9
Demande n°:

Anmeldetag:
Date of filing: 23/10/00
Date de dépôt:

Anmelder:
Applicant(s):
Demandeur(s):
Sony International (Europe) GmbH
10785 Berlin
GERMANY

Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:
Resource conflict resolution

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:
State:
Pays:

Tag:
Date:
Date:

Aktenzeichen:
File no.
Numéro de dépôt:

Internationale Patentklassifikation:
International Patent classification:
Classification internationale des brevets:
G06F9/46, H04H1/00, H04L29/06, H04Q7/38

Am Anmeldetag benannte Vertragsstaaten:
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR
Etats contractants désignés lors du dépôt:

Bemerkungen:
Remarks:
Remarques:

MÜLLER & HOFFMANN - PATENTANWÄLTE

European Patent Attorneys - European Trademark Attorneys

Innere Wiener Strasse 17
D-81667 München

EPO - Munich
63

23. Okt. 2000

Attorney's File: 52943

Applicant's Case: PAE00-105HNCE
S00P5207EP00

Ho/My/cg

23.10.2000

Sony International (Europe) GmbH

Kemperplatz 1

**10785 Berlin
Germany**

Resource Conflict Resolution

D. scription

- 1 The invention relates to a centralized method for resolving resource conflicts occurring when shareable resources which are at least partially allocated by at least one resource using client are requested by a resource demanding client, and an apparatus to perform said method.

5

In the field of information technology, it is known to share computational resources or memory resources between different resource using clients in order to avoid resource overheads in the resource using clients. A central computing device may for example provide computational resources for running several
10 simulation programs as resource using clients which are started and controlled by user terminals connected to said central computing device. Another example is a host which provides resources for operations of resource using clients to use the Internet, cellular networks (e. g. GSM (global system for mobile communication)) or broadcast systems (e. g. DAB (digital audio broadcast-
15 ing) or DVB (digital video broadcasting)). In the case that a resource demanding client wants to use the Internet, for example, the host allocates a part of the shareable resources needed for the resource demanding client to use the Internet, and provides this part of the shareable resources to the resource demanding client. When the resource demanding client finished operating on the
20 Internet, the host frees the allocated resources in order to provide them to other resource demanding clients.

Another example are "mobile" resource using software clients which are capable of moving between different hosts in order to enable a flexible use of re-
25 sources distributed over different hosts. The mobile resource using software clients may for example use DAB resources of the respective host to perform DAB tasks like downloading "all purpose data" via DAB.

When different resource using clients are concurrently active on the same
30 host, however, resource conflicts may arise. That is, if all available resources have already been allocated for different resource using clients, no resources can be provided to an additional resource demanding client. For example, if all Internet access resources of an ISP (Internet Service Provider) are already occupied by Internet-using resource using clients, there is no chance for an additional resource demanding client to get access to the Internet.

1

To solve such conflicts, usually priority rights are assigned to the resource using clients which may be determined by a user of the resource using client, respectively. Document EP 0 915 592 A1 discloses, for example, a method for admitting new connections based on usage priority in a multiple access system for communication networks which defines at least two user priority classes and disconnects a user of a lower priority class in case a collision is caused by the user of a higher priority class.

5

10 Further, document EP 0 579 305 A2 shows a method for resolving conflicts between communication systems in absence of a central arbiter, which method defines that the holder of a token has the priority in case of a collision and has to return the token after termination of its operation.

15 Another example for resolving resource conflicts may be just to preempt some resource using clients from the shareable resources they are using and to provide the preempted resources to a new resource demanding client.

20 It is an object of the present invention to provide a flexible method for resolving resource conflicts which is capable of taking into account changing resource demands resulting from a dynamically changing number of resource using clients or from changing resource demands of the resource using clients itself.

25 To solve this object, the present invention provides a centralized method for resolving resource conflicts occurring when shareable resources which are at least partially allocated by at least one resource using client are requested by a resource demanding client, which is characterized by negotiating with said at least one resource using client and said resource demanding client about future rights of allocating said requested shareable resources.

30

Further, the present invention provides a host providing shareable resources for performing specific client applications which are assignable to resource using clients being connected to said host, respectively, which is characterized by

35

- an extracting means for extracting client status information of a resource using client,

- 1 - processing means being connected to said extracting means for process-
ing said extracted client status information,
- providing means being connected to said extracting and said processing
means for providing said shareable resources to a resource demanding client
5 and/or to a client operation assigned to said resource demanding client,
wherein said shareable resources providing process is initiatable or refusable
by said processing means according to said extracted client status informa-
tion.
- 10 Preferred embodiments of this method and host which are defined in independ-
ent claims 1 and 13, respectively, are respectively defined in the respective fol-
lowing dependent claims.

An important aspect of the present invention is that the resource conflict reso-
15 lution is based on a negotiating process between the resource using clients
and the resource demanding client which is controlled by a centralized unit,
for example a host. An advantage thereof is that resource using client specific
knowledge can be used to resolve such conflicts. If a resource using client, for
example, allocates resources which are, however, not needed at the moment, it
20 may be useful to deallocate the corresponding allocated resources for a certain
period of time, and then returning it back to the resource using client at a
time when the resource is actually needed.

If a resource demanding client, for example a mobile application tries to start
25 a client operation on the central unit, the central unit is responsible for allo-
cating shareable resources needed for said client operation. The central unit
also cares for deallocation of the shareable resources, when said client opera-
tion has been finished. A shareable resource can be understood as a facility or
a device on the central unit which is used during the execution of the client
30 operation and is only usable by a limited number of resource using clients.

If shareable resources needed for a client operation can not be allocated, the
central unit initiates a resource conflict resolution process. If the resource
conflict can not be solved by the resource conflict resolution process, a failure
35 of performing the client operation may be indicated to the corresponding re-
source demanding client. If the resource conflict can be solved by the resource
conflict resolution process, the client operation is performed on the central

1 unit, which may be indicated to the resource demanding client. However, the
resource conflict resolution process is not restricted to one single central unit.
The central unit providing the functionality for handling the resource conflict
resolution process may, if it is connected to other central units, also use
5 shareable resources of the other central units to solve the resource conflict
resolution process. For example, the central unit may send a mobile resource
demanding client to another host which still has unused shareable resources,
if no shareable resources are available on the central unit at the moment. The
controlling of the resource conflict resolution process, however, is preferably
10 done by only one single central unit.

To initiate and perform the resource conflict resolution process, the central
unit may contact at least one resource using client and determine a respective
client status, which comprises all information needed for the central unit to
15 handle a resource conflict, e. g. to judge whether it is suitable to deallocate the
allocated shareable resources assigned to the respective resource using clients
or to terminate corresponding client operations. To determine the respective
client status, the central unit may contact a user of the resource using client
for supplying priority information, or directly contact the resource using client.
20 For example, the central unit may ask the user if he insists on performing
the client operation or if he is willing to terminate it. The central unit may, for
example, directly ask the resource using client if the user has used the re-
source using client during the last ten minutes. If not, the central unit may
decide to deallocate the corresponding shareable resources.

25 As described above, the central unit may only contact resource using clients
when a resource conflict occurs. However, it is also possible that the central
unit contacts the resource using clients or at least some of it in regular time
intervals in order to regularly update the respective client status information.
30 This extracted client status information may be stored within the central unit
so that an immediate handling of a resource conflict without contacting the re-
source using clients is possible when a resource demanding client requires al-
located shareable resources and therefore initiates a resource conflict. In an-
other embodiment, the resource using clients are obliged to send in regular
35 time intervals respective client status information to the central unit in order
to indicate the importance of maintaining the respective allocation of shareable
resources.

1

In a preferred embodiment, relationship information between the shareable resources allocated by the resource using clients and client operations assigned to the allocated resources of the resource using clients are tracked and stored within the central unit. The advantage thereof is that the central unit is enabled to negotiate "operation oriented", i. e. to ask the resource using client about terminating its client operation, which is easier to decide by the user or the client application as to decide about deallocating allocated shareable resources, which may not be definite in case that a resource using client runs several operations on the central unit. In other words, the central unit is capable to find out which client operation of which resource using client has to be terminated in order to deallocate a requested allocated resource according to the stored relationship information.

As the central unit manages the complete resource conflict resolution itself, it is possible to relieve a resource demanding client from the burden to manage such a resource conflict resolution on its own, which would require to implement appropriate functionality in each resource using/demanding client. In the present invention, however, the negotiating functionality for resolving the resource conflict needs to be implemented only in the central unit. The resource using/demanding clients only have to comprise functionality for providing negotiation information (i. e. the client status) to the central unit.

In the case that a resource using client refuses or is not able to participate a negotiating process with the resource demanding client managed by the central unit, the central unit preferably handles this situation according to a configurable default reaction mechanism. For example, the central unit preempts the resource using client from its allocated shareable resources after a predetermined period of time (time out), if the resource using client does not respond to an asking process for determining the respective resource using client status initiated by the central unit. The default reaction mechanism may be set for each resource using client by a respective user and may therefore be different for different resource using clients, it may, however, also be centrally set by a manufacturer of the central unit and therefore be equal for all resource using clients. The central unit may also simply refuse the request of the resource demanding client in such a case.

1 Further features and advantages of a preferred embodiment according to the present invention will be explained below in conjunction with the accompanying drawings, in which

5 **Fig. 1** shows a schematic drawing of a resource management process according to the present invention;

Fig. 2 shows a schematic drawing of a preferred embodiment of a resource conflict resolution process according to the present invention.

10

In the following description, making reference to Fig. 1, a preferred embodiment of a resource management process will be explained.

In a resource allocation process 1, a resource demanding client 2 tries to start
15 a client operation on a host 3 in a first step S1. The host 3 tries to allocate shareable resources in order to perform the client operation of the resource demanding client 2. If the allocation of shareable resources is not possible because of a resource conflict occurrence, the host 3 tries to resolve the resource conflict. If it is not possible to solve the resource conflict, the host 3 indicates
20 in a second step S2 the failure of the client operation to the resource demanding client 2. If the resource conflict can be solved, the resource allocation is retried and the execution of the client operation continues as normal. After having successfully performed the client operation, the host 3 indicates this to the resource demanding client 2 in a third step S3.

25

In the following description, making reference to Fig. 2, a preferred embodiment of a resource conflict resolution process will be described.

Generally, in this embodiment, a resource conflict resolution process preferably
30 comprises four turns. In the first turn ("turn proceed"), the resource demanding client is asked, if it insists on his request for running a client operation (resource request) despite of a resource conflict occurrence. If the resource demanding client insists on his request, in a second turn ("turn probe") all other resource using clients which use shareable resources needed by the
35 resource demanding client are asked "to be willing to" terminate corresponding client operations allocating the requested shareable resources, which is equivalent to asking the resource using clients to release their allocated re-

1 sources needed by the resource demanding client. Preferably, if there is at
least one resource using client which refuses to terminate a corresponding cli-
ent operation, or which does not respond during the asking process of the
host, the resource conflict resolution process fails. If all resource using clients
5 agree to terminate the corresponding client operations, then, in a third turn
("turn stop"), they are instructed by the host to release their allocated re-
sources by terminating their client operations. When all resource using clients
have released their allocated resources, the client operation of the resource de-
manding client continues to operate. If there are some resource using clients
10 which did not release their allocated resources in the third turn, then these re-
source using clients may be preempted from their allocated resources in a
fourth turn ("turn preempt").

The present invention, however, is not restricted to this order of turns in the
15 example given above, and any suitable combination of turns may be used.

In a resource conflict resolution process 4, a first client 5 starts to perform a
client operation Y on a host 3 in a first step S4. Then, in a second step S5, a
second client 6 starts to perform a client operation Z on the host 3. In a third
20 step S6, a third client 7 tries to start a client operation X on the host 3. How-
ever, as the operation X needs shareable resources needed by client operations
Y and Z, a resource conflict occurs. Therefore, the host 3 initiates a resource
conflict resolution process and asks the third client 7 in a fourth step S7 for
commitment. The third client 7 gives its commitment in a fifth step S8 to the
25 host 3. Then, the host 3 asks in a sixth step S9 the first client 5 to terminate
the client operation Y, and in a seventh step S10 for the willingness of the sec-
ond client 6 to terminate the client operation Z. Then, the second client 6 gives
its willingness to terminate the client operation Z in an eighth step S11 to the
host 3. Further, the first client 5 gives its willingness to terminate the client
30 operation Y in a ninth step S12 to the host 3. Then, in a tenth step S13, the
host 3 instructs the second client 6 to terminate the client operation Z. Fur-
ther, the host 3 instructs the first client 5 to terminate the client operation Y
in an eleventh step S14. The first client 5 indicates in a twelfth step S15 to
terminate the client operation Y, and in a thirteenth step S16, that the client
35 operation Y has been stopped. Then, in a fourteenth step S17, the second cli-
ent 6 indicates to the host 3 that the client operation Z will be terminated. Fi-
nally, in a fifteenth step S18, the second client 6 indicates the host 3 that the

- 1 client operation Z has been terminated. Then, the client operation X of the
third client 7 can be continued.

Apart from involving resource using clients in a negotiating process underlying
5 the resource conflict resolution process, the resource conflict resolution
process provided by the present invention has the advantages that a client op-
eration programmer does not have to care about shareable resources, as the
negotiation process is preferably performed "client operation oriented" and is
completely managed by the host 3. Thus, the client operation programmer does
10 only have to care about "client operation questions", the corresponding re-
source management is handled by the host 3. Second, a manufacturer of the
host 3 can decide to which amount he likes to support resource management
and resource conflict resolution. This means that it is possible to offer only a
partial implementation of the resource conflict resolution process, i. e. only a
15 part of the above described four turns may be implemented. For example, only
the first turn of asking the resource demanding client if it insists on his re-
quest for running a client operation despite of a resource conflict occurrence
may be implemented on the host 3. Likewise, the second turn of asking the re-
source using clients if they "are willing to" terminate corresponding client op-
20 erations allocating the requested shareable resources may be left.

25

30

35

- 1 7. Method according to anyone of the preceding claims, **characteriz d by**
asking said resource demanding client (7) whether insisting on a shareable re-
sources demand.
- 5 8. Method according to anyone of the claims 1 to 5 and 7, **characterized**
by acting according to a configurable default reaction mechanism if said re-
source using client (5, 6) refuses to participate said negotiating process, re-
fuses to act according to results of said negotiating process or is not capable of
participating said negotiating process.
- 10 9. Method according to claim 8, **characterized by** preempting said re-
source using client (5, 6) from its allocated shareable resources as a default
reaction mechanism.
- 15 10. Method according to claim 8, **characterized by** terminating said client
operations using said allocated shareable resources as a default reaction
mechanism.
- 20 11. Method according to anyone of the claims 6, 9 or 10, **characterized by**
providing said deallocated shareable resources of said resource using client to
said resource demanding client.
- 25 12. Method according to anyone of the preceding claims, **characterized in**
that said negotiating process, said step of determining said client status of
said resource using client (5, 6) and said step of providing said shareable re-
sources to said resource demanding client (7) are coordinated by a central unit
(3) having access to said shareable resources.
- 30 13. Host (3) providing shareable resources for performing specific client op-
erations, which are assignable to resource using clients (5, 6) being connected
to said host (3), respectively, **characterized by**
- an extracting means for extracting client status information of a re-
source using client (5, 6),
 - processing means being connected to said extracting means for process-
 - 35 ing said extracted client status information,
 - providing means being connected to said extracting means and said
processing means for providing said shareable resources to a resource de-

- 1 manding client (7) and/or to a client operation assigned to said resource de-
manding client (7), wherein said shareable resources providing process is
initiatable or refusable by said processing means according to said extracted
client status information.

5

10

15

20

25

30

35

EPO - Munich
63
23. Okt. 2000

Fig. 1

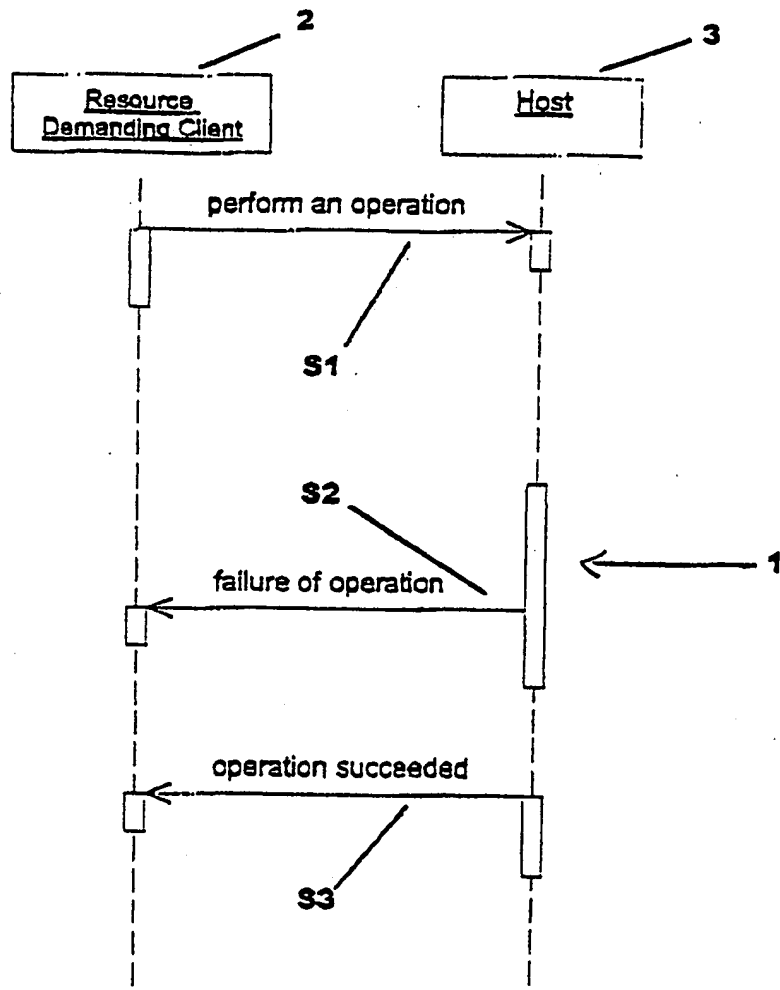


Fig. 2

